

## GAM Holding

Country	Switzerland
Meeting date	18 August 2023 09:00
Meeting location	Westhive, Hardturmstrasse 161, Zurich.
Meeting type	Extraordinary General Meeting
Securities	ISIN CH0102659627, Valor number 10265962

## Meeting Agenda

Item	Proposal	Ethos voting position
	Background of the EGM	
	Dismissal of 6 members of the board of directors and election of 5 new members	
1	Rock Investment's resolution: dismissal of Mr. David J. Jacob as board member and chairman	FOR
2	Rock Investment's resolution: elect Mr. Antoine Spillmann as board member and chairman	FOR
3	Dismissal of the current board members	
3.1	Rock Investment's resolution: dismissal of Ms. Katia Coudray	FOR
3.2	Rock Investment's resolution: dismissal of Ms. Jacqui Irvine	FOR
3.3	Rock Investment's resolution: dismissal of Mr. Frank Kuhnke	FOR
3.4	Rock Investment's resolution: dismissal of Ms. Monika Machon	FOR
3.5	Rock Investment's resolution: dismissal of Ms. Nancy Mistretta	FOR
4	Elections of new board members	
4.1	Rock Investment's resolution: election of Ms. Charlotte Aubin	FOR
4.2	Rock Investment's resolution: election of Mr. Carlos Esteve	FOR
4.3	Rock Investment's resolution: election of Mr. Anthony Maarek	FOR
4.4	Rock Investment's resolution: election of Mr. Fabien Pictet	FOR
5	Elections to the remuneration committee	
5.1	Rock Investment's resolution: election of Ms. Charlotte Aubin to the remuneration committee	FOR
5.2	Rock Investment's resolution: election of Mr. Anthony Maarek to the remuneration committee	FOR
5.3	Rock Investment's resolution: election of Mr. Fabien Pictet to the remuneration committee	FOR
6	Rock Investment's resolution: create conditional capital for the conversion of convertible bonds	FOR
7	Rock Investment's resolution: request for a special audit	FOR
	Transact any other business	OPPOSE

**Report issued:**

04.08.2023

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